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Knowledge Park-II, Greater Noida (U.P.)







**Value-Added-Certification Program** 

# NISM series 5-A "Mutual Fund Module"

Starting from 5th December 2023

for MBA-2nd Year (Finance Specialization)

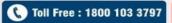


**Resource Person:** 

Mr. CHANDRESH GUPTA SEBI & NiSM Empanelled Trainer

CONVENER
Dr. GEETI SHARMA
Director, MIMT

COORDINATOR Dr. Anju Bala Faculty, MIMT







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### MANGALMAY INSTITUTE OF MANAGEMENT & TECHNOLOGY

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Knowledge Park-II, Greater Noida (U.P.)

28th November, 2023

#### NOTICE

Mangalmay Institute of Management & Technology is going to organise the certification program: "NISM series 5 (A): Mutual Fund Module" for the students of MBA 2<sup>nd</sup> year with finance specialization as per following details:

Starting Date: 5th December 2023

**Total Duration: 30 Hours** 

No. of Class: 12 (2:30 minutes per class)

Venue: MBA Classroom

Beneficiaries: MBA 2<sup>nd</sup> Year (Finance Specialization)

Resource Person: Mr. Chandresh Gupta, Freelance Trainer of Financial Market

(Empanelled with SEBI, NISM, PFRDA, ICAI, etc)

Faculty Coordinator: Dr. Anju Bala, Faculty, MIMT

CC to:

IQAC Director HOD Faculty members Notice Board Office File

Director/MIMT



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#### NISM series 5 (A): Mutual Fund Module

**Total Duration:**30 Hours

No. of Class: 12 (2 Hours 30 Minutes Per Class)

Venue: MBA Classroom

**Beneficiaries:** MBA 2<sup>nd</sup> Year (Finance Specialization)

Resource Person: Chandresh Gupta, Freelance Trainer of Financial Market

(Empanelled with SEBI, NISM, PFRDA, ICAI, etc)

#### **Mutual Fund Module Contents:**

- 1. Investment Landscape
- 2. Concept and role of mutual fund
- 3. Legal structure of mutual funds in India
- 4. Legal and regulatory framework
- 5. Scheme related information
- 6. Fund distribution and channel management practice
- 7. Net asset value, Total expenses ratio and pricing of units
- **8.** Taxation
- **9.** Investor services
- 10. Risk, returns and performance of funds
- 11. Mutual fund scheme performance
- 12. Mutual fund scheme selection



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## **Schedule of Sessions**

S.No.	Date	Time	Module
1.	05-12- 2023	11:00AM to 1:30PM	Investment Landscape
2.	07-12- 2023	11:00AM to 1:30PM	Concept and role of mutual fund
3.	09-12- 2023	11:00AM to 1:30PM	Legal structure of mutual funds in India
4.	11-12- 2023	11:00AM to 1:30PM	Legal and regulatory framework
5.	13-12- 2023	11:00AM to 1:30PM	Scheme related information
6.	15-12- 2023	11:00AM to 1:30PM	Fund distribution and channel management practice
7.	18-12- 2023	11:00AM to 1:30PM	Net asset value, Total expenses ratio and pricing of units
8.	20-12- 2023	11:00AM to 1:30PM	Taxation & Investor services
9.	22-12- 2023	11:00AM to 1:30PM	Risk, returns and performance of funds
10.	23-12- 2023	11:00AM to 1:30PM	Mutual fund scheme performance & Mutual fund scheme selection
11.	27-12-2023	11:00AM to 1:30PM	Tutorial - Practice
12.	28-12-2023	11:00AM to 1:30PM	Tutorial - Practice



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	Report					
Name of Certification Program	NISM series 5 (A): Mutual Fund Module					
Date	December 5, 2023 to December 29, 2023					
Venue	Classroom, MIMT and Google meet Platform					
Organized by	MIMT					
No. of	24 MBA -2 <sup>nd</sup> Year students (Finance Specialization)					
Beneficiaries						
Resource Person	Mr. Chandresh Gupta, Freelancer Trainer of Financial Market					
Activity In charge	Dr. Anju Bala, Associate Professor, MIMT					
Objective	The overarching objective of the Mangalmay Institute of Management & Technology's certificate program on NISM Series VA Mutual Fund Distributors was to empower MBA students with a holistic and practical understanding of the mutual fund industry. The program sought to equip participants with comprehensive knowledge spanning the investment landscape, legal and regulatory frameworks, taxation, investor services, and the intricacies of mutual fund schemes. By integrating theoretical insights with hands-on experiences, including tutorial practices and simulations, the program aimed to prepare students for the dynamic challenges of mutual fund distribution. The specific goals included fostering a deep comprehension of risk and return dynamics, honing skills in portfolio construction and optimization, and refining effective communication with clients.					
Content	Mangalmay Institute of Management & Technology orchestrated an enriching 12-session workshop series tailored for MBA students, centering on NISM Series VA Mutual Fund Distributors. The inaugural session, which delved into the intricacies of the investment landscape, set the tone for a comprehensive exploration of the subject matter. Over the course of the workshop series, participants engaged in a multifaceted learning experience, gaining insights into the regulatory aspects, practical applications, and ethical considerations surrounding					



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mutual fund distribution. The program not only equipped students with a profound understanding of NISM Series VA but also fostered a dynamic environment for interactive discussions and hands-on learning, reinforcing Mangalmay's commitment to providing holistic education and practical skills to aspiring business professionals.

#### **Session2:**

In the subsequent session of Mangalmay Institute of Management & Technology's 12-session workshop series on NISM Series VA Mutual Fund Distributors, the focus seamlessly transitioned to the pivotal topic of the "Concept and Role of Mutual Funds." This session provided MBA students with a comprehensive overview of the fundamental principles that underpin mutual funds, exploring their structure, functions, and significance in the broader financial landscape. Participants delved into the various types of mutual funds, risk management strategies employed within these investment vehicles, and the crucial role they play in wealth creation and portfolio diversification. The session aimed to demystify the intricacies of mutual funds, ensuring that participants not only grasped the theoretical foundations but also gained practical insights into the dynamic role these instruments play in the financial markets.

#### **Session3:**

Continuing the insightful journey of Mangalmay Institute of Management & Technology's workshop series on NISM Series VA Mutual Fund Distributors, the third session was dedicated to unraveling the "Legal Structure of Mutual Funds in India." This session provided MBA students with a thorough examination of the regulatory framework governing mutual funds, shedding light on the legal intricacies and compliance standards that shape their operation in the Indian financial landscape. Participants gained a nuanced understanding of the Securities and Exchange Board of India (SEBI) regulations, fund formation, and the legal obligations that fund managers must adhere to. The session emphasized the significance of legal compliance and transparency, ensuring that future mutual fund distributors are well-versed in the legal foundations that govern this vital component of the financial market. Through this comprehensive exploration, the workshop series aimed to equip participants with the knowledge and acumen necessary to navigate the legal landscape of mutual funds in India effectively.



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#### **Session 4:**

In the fourth session of Mangalmay Institute of Management & Technology's workshop series on NISM Series VA Mutual Fund Distributors, the focus extended to a deep dive into the "Legal and Regulatory Framework" surrounding mutual funds. This session provided MBA students with an in-depth analysis of the legal landscape and regulatory intricacies governing the mutual fund industry in India. Participants gained insights into key regulations and guidelines set forth by regulatory bodies such as the Securities and Exchange Board of India (SEBI). The session emphasized the importance of compliance with legal requirements, risk management, and ethical considerations within the context of mutual fund distribution. By exploring the legal and regulatory dimensions, students were equipped with the essential knowledge to navigate the complexities of the financial industry responsibly and ethically, fostering a well-rounded understanding of the legal framework that underpins mutual fund operations in the country.

#### **Session 5:**

In the fifth session of the comprehensive workshop series organized by Mangalmay Institute of Management & Technology on NISM Series VA Mutual Fund Distributors, the spotlight shifted to the critical topic of "Scheme-Related Information." This session was designed to provide MBA students with a detailed exploration of the various mutual fund schemes available in the market. Participants delved into the intricacies of scheme classifications, their features, risk factors, and investment objectives. The session focused on empowering students to analyze and understand scheme-related documents, such as offer documents and key information memoranda, ensuring they could effectively communicate essential information to potential investors. By imparting knowledge on the diverse array of mutual fund schemes, this session aimed to equip participants with the skills necessary to guide investors in making informed decisions, aligning with the overarching objective of preparing future mutual fund distributors with a holistic understanding of the industry.

#### **Session 6:**

In the sixth session of Mangalmay Institute of Management & Technology's workshop series on NISM Series VA Mutual Fund Distributors, the spotlight shifted to the dynamic realm of "Fund Distribution and Channel Management Practices." This session provided MBA students with a comprehensive exploration of the strategies and practices involved in the distribution of mutual funds.



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Participants gained insights into channel management, understanding how mutual funds reach investors through various distribution channels such as banks, financial advisors, and online platforms. The session delved into effective distribution strategies, highlighting the role of intermediaries and the importance of client relationships. By examining industry best practices, this session aimed to equip students with the skills necessary to navigate the intricacies of fund distribution, emphasizing the significance of ethical conduct and client-centric approaches in the ever-evolving landscape of mutual fund marketing and distribution.

#### Session 7:

This session provided MBA students with a detailed examination of the key financial metrics and pricing mechanisms that underpin mutual fund operations.

Participants delved into the concept of Net Asset Value, understanding its significance as a measure of the fund's per-unit market value. The session explored the factors influencing NAV and how it reflects the overall performance of a mutual fund. Additionally, students gained insights into the Total Expense Ratio, comprehending its role in evaluating the cost efficiency of a mutual fund.

The pricing of units, another critical aspect covered in the session, was thoroughly examined. Participants learned about the various methods used to price units and the implications of different pricing structures on investors.

By delving into these financial intricacies, this session aimed to provide students with a comprehensive understanding of the financial metrics and pricing dynamics that are fundamental to effective mutual fund distribution and management

#### **Session 8:**

In the eighth session of Mangalmay Institute of Management & Technology's workshop series on NISM Series VA Mutual Fund Distributors, the focus shifted towards the pivotal topics of "Taxation & Investor Services." This session aimed to equip MBA students with a comprehensive understanding of the tax implications associated with mutual fund investments and the essential investor services provided by fund distributors.

The taxation segment covered various aspects, including capital gains tax, dividend distribution tax, and the impact of taxation on different types of mutual fund schemes. Participants gained insights into how taxation can influence investment decisions and learned strategies to



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optimize tax efficiency for investors.

In the investor services segment, students explored the range of services offered to mutual fund investors, including account management, customer support, and financial advisory services. The session emphasized the importance of delivering excellent investor services to build trust and long-term relationships.

#### Session 9:

In the ninth session of Mangalmay Institute of Management & Technology's workshop series on NISM Series VA Mutual Fund Distributors, the focus shifted to a comprehensive exploration of "Risk, Returns, and Performance of Funds." This session aimed to provide MBA students with a deep understanding of the crucial factors influencing mutual fund investments.

The session delved into the concept of risk, elucidating the various types of risks associated with mutual funds, including market risk, credit risk, and liquidity risk. Participants gained insights into risk management strategies employed by fund managers to mitigate potential downsides.

The discussion on returns encompassed the assessment of historical performance, benchmarking, and the evaluation of various financial metrics. Students learned how to interpret fund performance in the context of risk and understand the relationship between risk and returns.

#### **Session 10:**

In the tenth session of Mangalmay Institute of Management & Technology's workshop series on NISM Series VA Mutual Fund Distributors, the focus honed in on "Mutual Fund Scheme Performance & Mutual Fund Scheme Selection." This session was designed to provide MBA students with a comprehensive understanding of how to evaluate and select mutual fund schemes effectively.

The session delved into the nuanced process of analyzing mutual fund scheme performance, covering key performance indicators, historical returns, and benchmark comparisons. Participants learned to interpret fund performance reports, enabling them to assess a scheme's consistency and suitability for investors.

The discussion on mutual fund scheme selection encompassed factors such as investment objectives, risk tolerance, and time horizon. Students gained insights into tailoring fund recommendations to meet the diverse needs of investors, aligning specific schemes with



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individual financial goals.

#### **Session 11&12:**

On sessions 11 and 12 of Mangalmay Institute of Management & Technology's workshop series on NISM Series VA Mutual Fund Distributors, the focus shifted to immersive "Tutorial Practices." These hands-on sessions were designed to provide MBA students with practical, real-world experience in applying the concepts and skills they had acquired throughout the workshop.

Session 11 involved practical tutorials covering a range of topics, including the application of risk management strategies, the interpretation of financial statements related to mutual funds, and the utilization of software tools commonly employed in the mutual fund industry. Students had the opportunity to work through case studies, analyze market trends, and simulate scenarios that mutual fund distributors often encounter in their professional roles.

Session 12 continued the tutorial practices with a focus on mutual fund portfolio construction and optimization. Participants engaged in exercises that required them to create diversified portfolios, aligning with different investment objectives and risk appetites. The session also included mock client interactions, allowing students to apply effective communication skills and address potential client queries related to mutual fund investments.

## Outcome of Activity

The NISM Series VA certificate program, organized at MIMT for MNBA finance specialized students, proved highly beneficial in enhancing their knowledge and skills in the field of mutual fund investment advisory. **Participants** distribution and comprehensive understanding of various aspects, including regulations, risk management, and ethical practices in the financial domain. The program equipped students with practical insights, preparing them for roles in the ever-evolving financial industry. As a result, graduates demonstrated increased proficiency in handling mutual fund-related transactions, compliance matters, and client interactions, making them well-prepared and competitive professionals in the finance sector. Overall, this certification program will open new door for better career opportunities for the students of finance specialization.



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#### **Photographs**



Figure 1 During Session 1 in offline classes



Figure 2 Resource Person Mr. Chandresh Gupta



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Figure 3 While explaining the concept of Mutual Funds Framework in Session 2



Figure 4 Students during Session 3

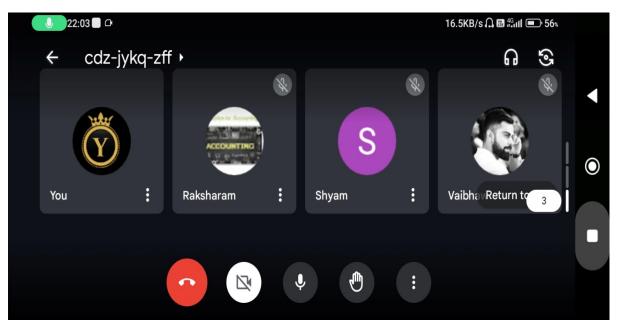


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Figure 5 While explaining the concept of revenue modes in Mutual Funds+



**Figure 6 During online classes of Certificate Program** 



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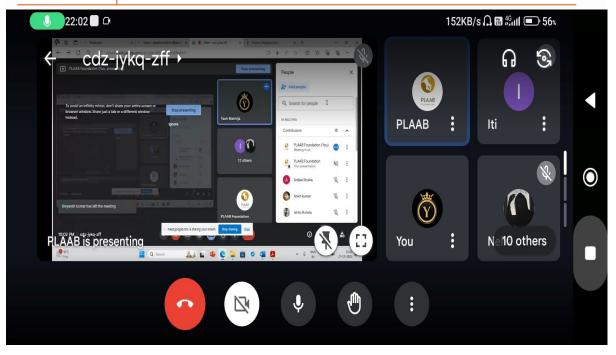
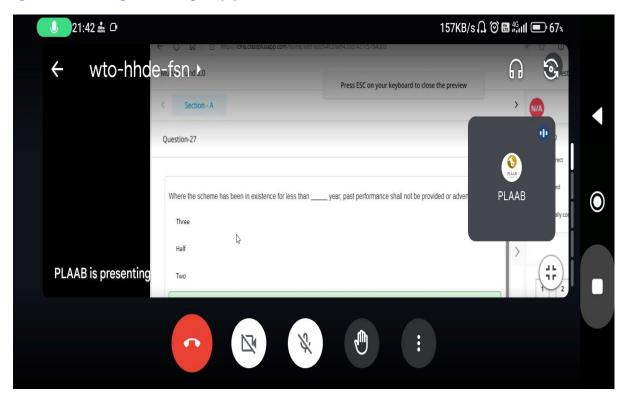


Figure 7 Screen sharing for discussing test papers.



**Figure 8 Mock Paper Discussions** 



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**Figure 9 Online Class Attendees** 

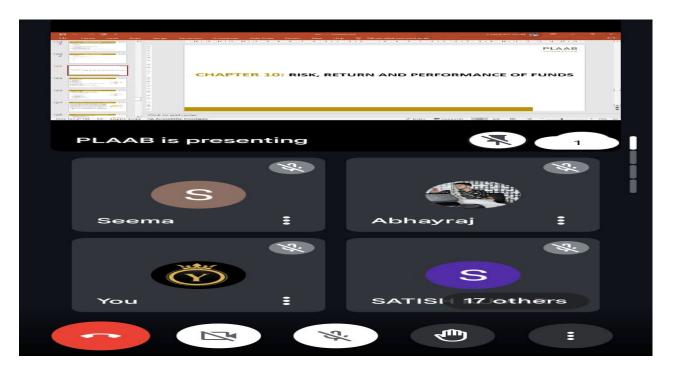


Figure 10 Screen Sharing and Student's Profiles during an online class



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**Figure 11 Mock Paper Discussions** 

Pe	ople Info	rmation	Activi	ties
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D	Divyansh Kum	nar	3.	=
	HARSH GUPT	A	<i>\$</i> .	<b>=</b>
	Harsh Pandey	•	<i>3</i> .	<b>=</b>
	Ishita Ruhela		<i>3</i> .	=
	ITI AGARWAL		<i>3.</i>	<b>=</b>
	Kunal Kumar		<i>%</i> .	=
	Neha Singh		<i>%</i> .	<b>=</b>
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S	Shyam Kumar			3.
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Figure 12 Online class attendance



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#### **List of Beneficiaries**



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### Attendance Sheet for NISM Series 5 (A | Certification Program

MRA-2nd year (Finance Specialization)

		,	1BA-2nd year	(Linance ob	Camzation)			
			5-12-2023	7-12-2013	9-12-2023	11-12-2023	15-12-202	18-12-202
S.No	Name of Student	Mobile No.	Session 1	Session 2	Session 3	Session 4	Session 5	Session 6
1	Aanchal Prasad	8957375373	Ancer	Ducen	Aucen	present	Aprand	Develor
2	Anjiee Shukla	8528325297	BHICE	18	3	X	A	1/
3	Ankit	8130567669	ABSENT	Ankit	Ankit	mad	Ankit	August
4	Anurag Rai	8707215014	Amore	Huvey	gung	Arway	freeze	America
5	Aryan Dahas	9868240242	Wyan Baba	Manpapa	AMOU	myanda	ABSENT	Ange
6	Bhavya Singhare	9310104768	ABSENT	State	3/200	out.	OF THE PERSON NAMED IN COLUMN TO PERSON NAME	att -
7	Harsh Gupta	7913787274	Ry	- Byla	CH.	ABSENT	ABSENT	- OFF
8	Ishita Ruhela	9027163968	Pehin.	Syling.	Achite.	Pelate	PARK	giliter
9	lti agarwal	9149276497	34,44	24 - 34	THE WAY	-Hab	02	2th
10	Kumari Neha Singh	9097811674	Velysigh	Vetisie	Welkey	Nely.	Stellshigh	Klaledy
11	Kunal Kumar	8092959878	King	Kurd	Kunt	King	Know	Kurst
12	Musheer Aazam	9027653329	Aus hour	ABSENT	THERENT	Auto C	Juste	Make
13	Rahul	8053557355	(TIL)	Palm	Poly	Zalust	fally?	Kaly!
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15	Satish Kumar dubey	7084027644	Setish	Sotish	datish	Satist	Satish	Satist
16	Seema raghav	7569011160	ABSENT	of man	Pomis	Dung	Dur	bure
17	Vaibhav Arun	6202016087	Vaibhay	Wibhay	Vallay	vallay	vailhar	vaibhay
18	Vikash kumar	8551180349	Vikad Kr.	Cicala.	Livet As.	Vikokra	ABSENT	ABJEN
19	Yash Makhija	7206605325	(mp)	Fraging.	Produc	meluja	Produit.	Pretup
20	Abhayraj Gupta	8827735335	tay.	- Korl	K)	- fal	ABSENT	Kul
21	Divyansh Kumar	8127882129	Divyansh	Rivansh	Divyanah	Divyansı	Divyaru	Rivyan
22	Harsh pandey	9565408700	Hearder	Hoonday	Grandey	4 randol	<b>Upandey</b>	4 ponday
23	Pradeep Kumar	8789868218	Bodeck	Produce	Puday	Porder	Padeop	fate
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#### Attendance Sheet for NISM Series 5 (A) Certification Program

MBA-2nd year (Finance Specialization)

			19-12-2020	20-12-202	2000 0000	23+2-2013	27-12-2023	28-12-2023
5.No	Name of Student	Mobile No.	Session 7	Sessio- 8	Session 9	Session 10	Session 11	Session 12
1	Aanchal Prasad	8957375373	Amola	Andland	and	Acros	prehind	Aral
2	Anjlee Shukla	8528325297	X	D	A	A	A	A
3	Ankit	\$130667669	ATHEN	Mair	AND-A	Arkit_	Artik	-Anh.
4	Anurag Rai	8707215014	Anwag	American	Anway	frung	Anway	freny
5	Aryan Dabas	9868240242	ATHEN	Anyon	Arph	Agay	Aryry	Aryan
6	Bhavya Singhare	9340104768	Planet w	State of	Park	中	时代	學
7	Harsh Gupta	7983787274	Poh	Q.	Theypre	Paper	Grupta	Prupia
8	Ishita Ruhela	9027163968	P/1549.	A LETT	Dalita	polita	Phil	Selista
9	lti agarwal	9149276497	Though	SX.	2hi d	Sh Jan	39	Phi
10	Kumari Neha Singh	9097811674	Nebely	Tile	Moley	hope	Wilsh	Melin
11	Kunal Kumar	8092959878	Vine	Kuri	Kund	Kural	throt	Kerel
12	Musheer Aazam	9027653329	Kuly	Tuber	Amber	Muche	July	Musher
13	Rahul	805355735	Tales.	2.dex	Robert	Palul	Kohy	Rapul
14	Rahul yadav	9718718870	-Not	Regis	ened		7	-



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16	Seema raghav	7669011160	dunt.	Pun	Some	Cons	Soma	Seema
17	Vaibhay Arun	6202016087	Vaibley	Vailtay	Unithou	Viltar	Viltay	Valtou
18	Vikash kumar	8651180349	Vigt in	Visat br	Viput br	Vikat to	What he	Vikash kr.
19	Yash Makhija	7206605325	Vinting.	ABSENT	Jan Z	Just 1	The same	Will I
20	Abhayraj Gupta	8827735335	Kay.	Na P	de	Kw.	Kon	= Kay
21	Divyansh Kumar	8127882129	divyanah	ABSENT	diffensh	الم داري دل	divorus	divyana
22	Harsh pandey	9565408700	Hrandey	-Hpandey	Hounder	Honder	Hordey	-Upard ex
23	Pradeep Kumar	8789868218	Pradeup_	morder	Pradoch	fredet	Practice	Proteb
24	Raksharam verma	6388508080	Rekshow		Robstraus	Rakhou	Rakshorey	Rabitran
25	Shyam kumar sah	6201242427	Show	Sylven	Shugur	ELLINE	mark	Slave
<b>X</b> 26	Komal Kumari	9667772690		Not	Registe	rad		
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28								
29								
30								



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#### **Registration & Completion Status**



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Add-on Certification Program "NISM series 5 (A): Mutual Fund Module"

Date:5th December 2023 to 29th December 2023

## Registration and Completion Status

S. No.	Name of Student	Father's Name	Registered	Completed
		Jai Shankar Prasad	Arrebal	Park
	Aanchal Prasad	Ravindra Shukla	AA	Fail
2	Anjlee Shukla	Anil Alok	Ankit	Pars
3	Ankit		/	Pass
4	Anurag Rai	Ashok Kumar Rai	Aruney	22
5	Aryan Dabas	Bharat Singh Dabas	Charas	Pars
6	Bhavya Singhare	Yuvaresh Singhare (	Dirigina .	Pars
7	Harsh Gupta	Mr Jaiprakash Gupta	2 shite	
8	Ishita Ruhela	Manoj Kumar Ruhela	0 1	Park
9	Iti Agarwal	Sharad Agarwal	Iti dagetish	Pars
10	Kumari Neha Singh	Nagendra Kumar	Neha Singh.	Pars
11	Kunal Kumar	Brahmachari Pawan	Kunal	Fail
12	Musheer Aazam	Ashfaq Ahmad	Muffern	Parl
13	Rahul	Ramvir Singh	Kare	Pass
14	Sátish Kumar Dubey	Shyam Bihari Dubey	0.8K	Eass
15	Seema Raghav	Narender Singh Raghav	Berns	Fail
16	Vaibhav Arun	Arun Kumar Singh	Valbhar	Pass
17	Vikash Kumar	Arjun Sah	vikaeh.	Pass
18	Yash Makhija	Janki Dass	Yash	Pass
19	Abhayraj Gupta	Shri Awdhesh Prasad	-tal.	Pax
20	Divyansh Kumar	Kavish Kumar	DK	Pass
21	Harsh Pandey	Sanjay Pandey	Hondey	Pars
22	Pradeep Kumar	Heera Lal	Trodecto	Pass
23	Raksharam Verma	Laxmi Prasad	Rakistru	Pars
24	Shyam Kumar Sah	Shiv Shankar Sah	Stormtu	Pass
			-0	100

16/01/24

21 students cleared the exam 03 students failed.



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#### **Certificate of Top Three Students**

## राष्ट्रीय प्रतिभूति बाजार संस्थान

National Institute of Securities Markets

## प्रमाणपत्र Certificate

NiSM

श्री / सुश्री Mr. / Ms. YASH MAKHIJA

(पी.ए.एन. संख्या PAN Number : EUMPM5641F)

( पंजीकरण संख्या Registration Number : NISM-202300203233 )

( नामांकन क्रमांक Enrolment Number : 2310397724 )



ने भारतीय प्रतिमूर्ति और विनिमय मोर्ड (प्रतिमूर्ति माजारों में सहयुक्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार "रा.प्र.वा.सं.-शृंखला-V-ए : पारस्परिक निधि वितरक प्रमाणीकरण परीक्षा" सफलतापूर्वक पूर्ण कर ली है।

has successfully completed the "NISM-Series-V-A: Mutual Fund Distributors Certification Examination" as required under the SEBI (Certification of Associated Persons in the Securities Markets) Regulations, 2007

परीक्षा तिथि	परीक्षा केंद्र	भाषा	अंक	टिप्पणियाँ
Test Date	Test Centre	Language	Marks	Remarks
December 30, 2023	Ghaziabad-IMS Ghaziabad University Courses Campus	English	78	PASS

तारीख Date : January 05, 2024

स्थान Place : Mumbai

कब तक मान्य Valid Till : December 29, 2026

सुनिल कदम, रजिस्ट्रार Sunil Kadam, Registrar

For online verification of this NISM Certificate, please login to NISM Skills Registry at https://certifications.nism.ac.in/nismskills



(Approved by AICTE, New Delhi & Affiliated to AKTU, Lucknow)
Knowledge Park-II, Greater Noida (U.P.)

## राष्ट्रीय प्रतिभूति बाज़ार संस्थान

National Institute of Securities Markets

## प्रमाणपत्र Certificate

NiSM

श्री / सुश्री Mr. / Ms. ISHITA RUHELA

(पी.ए.एन. संख्या PAN Number : FGHPR4309M)

( पंजीकरण संख्या Registration Number : NISM-202300190173 )

( नामांकन क्रमांक Enrolment Number : 2310397690 )



ने भारतीय प्रतिमृति और विनिमय बोर्ख (प्रतिमृति बाजारों में सहयुक्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार

"रा.पु.बा.सं.-शृंखला-V-ए : पारस्परिक निधि वितरक प्रगाणीकरण परीक्षा" सफलतापूर्वक पूर्ण कर ली है l

has successfully completed the "NISM-Series-V-A: Mutual Fund Distributors Certification Examination" as required under the SEBI (Certification of Associated Persons in the Securities Markets) Regulations, 2007

परीक्षा तिथि	परीक्षा केंद्र	भाषा	अंक	टिप्पणियाँ
Test Date	Test Centre	Language	Marks	Remarks
December 30, 2023	Ghaziabad-IMS Ghaziabad University Courses Campus	English	71	PASS

तारीख Date: January 05, 2024

स्थान Place : Mumbai

कब तक मान्य Valid Till: December 29, 2026

सुनिल कदम, रजिस्ट्रार Sunil Kadam, Registrar

For online verification of this NISM Certificate, please login to NISM Skills Registry at https://certifications.nism.ac.in/nismskills



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## राष्ट्रीय प्रतिभूति बाजार संस्थान

National Institute of Securities Markets

## प्रमाणपत्र Certificate

NiSM

श्री / सुश्री Mr. / Ms. ITI AGARWAL

(पी.ए.एन. संख्या PAN Number : FKEPA1417E)

( पंजीकरण संख्या Regis:ration Number : NISM-202300192668 )

( नामांकन क्रमांक Enrolment Number : 2310397614 )



ने भारतीय प्रतिभूति और विनिमय बोर्ड (प्रतिभूति बजारों में सहयुक्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार "रा.प्र.वा.स.-मुखला-V-ए : पारस्परिक निधि वितरक प्रमाणीकरण परीक्षा" सफलतापूर्वक पूर्ण कर ली है।

has successfully completed the "NISM Series V A: Mutual Fund Distributors Certification Examination" as required under the SEBF (Certification of Associated Persons in the Securities Markets) Regulations, 2007

परीक्षा तिथि	परीक्षा केंद्र	भाषा	अंक	टिप्पणियाँ
Test Date	Test Centre	Language	Marks	Remarks
December 30, 2023	Graziabad-IMS Ghaziabad University Courses Campus	English	/1	PASS

तारीख Date: January 05, 2024

स्थान Place : Mumbai

कब तक मान्व Valid Till : December 29, 2026

सुनिल कदम, रजिस्ट्रार Sunil Kadam, Registrar

For online verification of this NISM Certificate, please login to NISM Skills Registry at https://certifications.nism.ac.in/nismskills